



H-14

Section 81-01
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Notice of Missing Part

In re Application of:

Gerhard Pfaffinger et al.

Appln. No. 09/721,428

Art Unit: 2643

Filed: November 22, 2000

Examiner: Not Yet Decided

For: SOUND SYSTEM

Atty. Docket: HAS-009.01

CERTIFICATE OF MAILING

I hereby certify that the foregoing document is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Box Missing Parts, Assistant Commissioner of Patents, Washington, DC 20231 on April 19, 2001.

Robert King

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Parts
Assistant Commissioner for Patent
Washington, DC 20231

Sir:

We attach an executed Declaration for the above-referenced application.

Also enclosed is a copy of the Notice to File Missing Parts of NonProvisional Application and a check totaling \$840.00 for the basic filing fee (\$710), and late filing surcharge (\$130).

Although we believe that we have submitted the correct amount to cover the above-listed items, the Commissioner is authorized to credit any overpayment or charge any deficiencies to our Deposit Account No. 06-1448.

Respectfully submitted,

FOLEY, HOAG & ELIOT LLP

Kirk A. Damman

Registration No. 42,461

Date: April 19, 2001

Customer Number 25181
Patent Group
Foley, Hoag & Eliot LLP
One Post Office Square
Boston, MA 02109-2170
(617) 832-1000

Docket Number HAS-009.01

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SOUND SYSTEM

the specification of which (check one)

(X) is attached hereto.

() was filed on _____ as United States Application Number or PCT International Application Number.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulation, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Claimed

199 56 690.9
(Number)

Germany
(Country)

November 25, 1999
(Day/Month/Year Filed)

(X) Yes () No

(Number)

(Country)

(Day/Month/Year Filed)

. () Yes () No

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States Provisional application(s) listed below.

(Application Number)

(Day/Month/Year Filed)

(Application Number)

(Day/Month/Year Filed)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

(Application Number)

(Day/Month/Year Filed)

(Status: patented, pending, abandoned)

(Application Number)

(Day/Month/Year Filed)

(Status: patented, pending, abandoned)

I hereby appoint Beth E. Arnold, Reg. No. 35,430; Isabelle Clauss, Reg. No. P-47,326; Kirk Damman, Reg. No. 42,461; Stephen B. Deutsch, Reg. No. P-46,663; Jason Gish, Reg. No. 42,581; Dana Gordon, Reg. No. 44,719; Robert A. Greenberg, Reg. No. 44,133; David P. Halstead, Reg. No. 44,735; Edward J. Kelly, Reg. No. 38,936; David A. Lane, Jr. Reg. No. 39,261; W. Hugo Liepmann, Reg. No. 20,407; Robert A. Mazzaresse, Reg. No. 42,852; James T. Olesen, Reg. No. P-47,172; Kevin A. Oliver, 42,049; Chinh H. Pham, Reg. No. 39,329; Wolfgang E. Stutius, Reg. No. 40,256; Kingsley L. Taft, Reg. No. 43,946; Anita Varma, Reg. No. 43,221; Matthew P. Vincent, Reg. No. 36,709; Sharon Webb, Reg. No. P-46,967; and John L. Welch, Reg. No. 28,129 as attorneys/agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Address all telephone calls to Wolfgang Stutius at telephone number (617) 832-1000.

Address all correspondence to: Wolfgang Stutius
at Customer Number: 025181
whose address is: Patent Group
Foley, Hoag & Eliot LLP
One Post Office Square
Boston, Ma. 02109-2170

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor (given name, family name): Gerhard PFAFFINGER

Inventor's signature: _____ Date: _____

Residence: Sedanstrasse 19, D-93055 Regensburg (Germany) Citizenship: German

Post Office Address: same as above

Full name of second joint inventor, if any (given name, family name): Franz LORENZ

Inventor's signature: _____ Date: _____

Residence: Nepomukplatz 2, D-94345 Obermotzig (Germany) Citizenship: German

Post Office Address: same as above

Full name of third joint inventor, if any (given name, family name): _____

Inventor's signature: Markus CHRISTOPH Date: _____

Residence: Danziger Strasse 46, D-94315 Straubing (Germany) Citizenship: German

Post Office Address: same as above



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/721,428	11/22/2000	Gerhard Pfaffinger	HAS-009.01

CONFIRMATION NO. 2876

025181

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BOSTON, MA 02109

FORMALITIES LETTER



OC000000005928951

Date Mailed: 04/03/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

A copy of this notice MUST be returned with the reply.

Tequest Woldeyes
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

04/25/2001 ENGUYEN 00000012 09721428

01 FC:101
02 FC:105

710.00 OP
130.00 OP